

From: Sharon Glen <sharonglen5@bigpond.com>
Sent: Tuesday, March 23, 2010 3:33 AM
To: Matthew Zaner <matt@zaner.com>; secretary <secretary@CFTC.gov>; Greg Bottitta <gbottitta@fxsol.com>; Michael Keene <mkeene@zaner.com>
Subject: Re: Confusing fx email

Dear Matthew,

Thank you for the prompt reply. I take it that you are confused re these emails because it shows the sender's address in the "to" field. This is a deceptive practice often employed by spammers. These emails arrived in my in-box because they were addressed to me at sharonglen5@bigpond.com. When the recipient opens the in-box, the sender's name appears in the 'to' field instead of the recipient name.

I would like to know how Zaner acquired my private email address since this email is not available anywhere on the net except in my comment paper to the CFTC. I would also like to know why Zaner is engaged in spam emailing traders to promote off-shore brokerages, using the CFTC reference numbers as a marketing ploy.

Here is another email received by another trader, from Mike Keene in your company, regarding the dealer Currenex - (<http://www.forexfactory.com/showpost.php?p=3569349&postcount=785>)

"Hm, I got an unsolicited email from a "Mike Keene". I looked him up with the NFA and he's an AP with the Zaner Group.

This was in the email:

Was currenex the platform you requested?

Mike

Mike Keene (NFA ID# 0333283)
Foreign Exchange, Market Strategist
Zaner Group – Chicago, IL
office. 312.277.0111
fax. 312.277.0150
Skype: mike.keene1
Yahoo IM: currencydesk

It seemed suspicious, because I have nothing to do with Currenex. Interesting way to do business."

Thank you for looking in to these matters Matthew. If Zaner Group has been retained by the dealers to engage in spam marketing of traders submitting comment to the CFTC, this is a matter of some concern that requires further investigation. Retail traders need to have confidence that when they liaise through the channels provided by a government agency for the submitting of public comment, that the CFTC will not itself be drawn into becoming a source of deceptive or exploitative marketing practices. Retail traders need to have confidence that their interests are being protected by the very agency charged with that responsibility and not exploited by unscrupulous marketers seeking to take advantage.

Kind regards,
Sharon Glen

----- Original Message -----

From: [Matthew Zaner](mailto:Matthew.Zaner@zaner.com)
To: sharonglen5@bigpond.com ; secretary@cftc.gov ; [Greg Bottitta](mailto:Greg.Bottitta@fxsol.com) ; [Michael Keene](mailto:Michael.Keene@zaner.com)
Sent: Tuesday, March 23, 2010 8:08 AM
Subject: Confusing fx email

Dear Ms. Glen,
The below email you reference was sent to you in error. My apologies for any confusion.
Sincerely,
Matthew Zaner
CEO
Zaner Group

312-277-0102
matt@zaner.com

About Zaner:

For the past 25 years, Zaner has been helping futures, commodity and forex traders trade smarter, faster and easier. Zaner is an established, highly regarded award-winning execution and brokerage firm known for providing clients with exceptional service. We have been CFTC registered and an NFA member since 1982, and throughout the years have forged exclusive, custom relationships with multi-billion dollar partners. Which means you access opportunities from cutting-edge technology, memberships on the world's major exchanges, execution desks on all the world's premier trading floors, and operations in more than 14 countries.

In addition, Zaner futures, forex and commodities advisors have extensive trading, portfolio management and business experience. We pride ourselves on our high ethical standards, industry expertise and unique market insight. On average, our advisors have over 20 years of industry expertise. They've seen the market through good times and bad, and the knowledge gained over the years has proven invaluable. Each client, no matter the size of the account, receives the same honest, knowledgeable, award-winning personal service we have been famous for for 25 years.

Zaner is Member of: National Futures Association, National Introducing Brokers Association, Better Business Bureau, Illinois Chamber of Commerce & Registered with: CFTC.

DISCLAIMER:

Trading commodity futures and options involves substantial risk of loss and may not be suitable for all people. You should carefully consider whether trading is suitable for you in light of your circumstances, knowledge, and financial resources. Opinions are subject to change at any time, and are not a solicitation or recommendation to buy or sell commodity futures or commodity options. Past performance is not indicative of future results.

The information contained in this message has been obtained from sources believed to be reliable, but is not guaranteed as to its accuracy or completeness.

The contents of this e-mail message and any attachments are intended solely for the recipients named in this message. This communication is intended to be and to remain confidential. If you are not an intended recipient of this message or if this message has been addressed to you in error, immediately alert the sender by reply e-mail and delete this message and its attachments from your computer and destroy any hard copies. If you are not an intended recipient or this message has been addressed to you in error, you are prohibited from delivering, distributing, disclosing, printing, copying, or relying on this message and/or any attachments.

From: Sharon Glen [mailto:sharonglen5@bigpond.com]
Sent: Saturday, March 20, 2010 3:36 AM
To: secretary@cftc.gov
Cc: Joseph Trevisani
Subject: Fw: RIN3038-AC61

Dear Mr Stawick,

I am forwarding this and another email to you for investigation. It appears that whoever sent this has been collecting email addresses from the CFTC's Retail Forex comment file register. I will also forward it to Joseph Trevisani, at Fxsol.com in the US for him to also follow up.

This kind of behaviour is unethical and misrepresentative - the subject heading appears related to the CFTC's registers yet it has nothing at all to do with the CFTC. I have not opened either link, having a concern that there could be a security risk attached.

Please attend to this matter immediately.

Regards,
Sharon Glen.

----- Original Message -----

From: [Mike Keene](mailto:mike.keene@zaner.com)
To: mkeene@zaner.com
Cc: gbottita@fxsol.com
Sent: Saturday, March 20, 2010 4:08 PM
Subject: RIN3038-AC61

FXSOL UK:

<https://online.fxsol.co.uk/LiveApplication/?ref=ZAGR16>

|