

**Summary of Meeting In Connection with
Supplemental Notice of Proposed Rulemaking for Regulation Automated Trading
 (“Regulation AT”)**

Meeting date: June 9, 2017

CFTC staff present: Sebastian Pujol Schott, Brian Robinson, Richard Haynes, and Mike Penick.

Outside parties present: Jennifer Han (Managed Fund Association, “MFA”), Stuart Kaswell (MFA), Carlotta King (MFA), Laura Harper Powell (MFA), Helene Glotzer (Bridgewater Associates, LP), Brendan Kalb (AQR Capital Management, LLC), Hunter Landrum (Two Sigma Investments, LP) Jonah Platt (Citadel LLC), Simon Raykher (Kepos Capital LP), and Kim Rozman (HBK Capital Management),

On June 9, 2017, CFTC staff held a meeting with representatives of Managed Fund Association (MFA). The meeting lasted approximately 45 minutes and focused on the proposed rules set forth in the supplemental notice of proposed rulemaking (Supplemental NPRM) for Regulation AT, including source code, the third party certification process, and the proposed definitions of “AT Person” and “Direct Electronic Access.”