

Ex Parte Meeting for Proposed Rule Recordkeeping 82 FR 6356 (Jan. 19, 2017)

Title:

Teleconference with IPC Systems Inc.

Ex Parte No: []

Date: 3/20/2017

Meeting Date:

Thursday, March 16, 2017

Memo From:

Barry McCarty

CFTC Staff:

Frank Fisanich, Andy Chapin, Barry McCarty, and Jacob Chachkin

Organization:

IPC Systems Inc. (IPC)

External Attendees:

IPC: Robert Powell, Director of Compliance

Additional Information:

Discussed the potential elimination of the third party technical consultant requirements in the proposed recordkeeping rulemaking. IPC is a third party technical consultant providing both oral communications and electronic records services to CFTC registrants. The Notice of Proposed Rulemaking was unanimously approved by the Commission and released on January 12, 2017.