

Ex Parte Meeting for Proposed Rule Recordkeeping 82 FR 6356 (Jan. 19, 2017)

Title:

Teleconference with CFE

Ex Parte No: []

Date: 3/16/2017

Meeting Date:

Tuesday, March 14, 2017

Memo From:

Jacob Chachkin

CFTC Staff:

Frank Fisanich, Jacob Chachkin

Organization:

CBOE Futures Exchange, LLC (CFE)

External Attendees:

CFE: Alexandra Albright (Compliance), Karen Christiansen (Regulation), Jim Enstrom (Internal Audit), Nicole Gordon (Legal), Arthur Reinstein (Legal), Doreen Scholla (Compliance), Eileen Smith (Systems Planning)

Additional Information:

Discussed the systems inventory and policies and procedures provisions in the proposed recordkeeping rulemaking. The Notice of Proposed Rulemaking was unanimously approved by the Commission and released on January 12, 2017.