

Ex Parte Meeting for Proposed Rule Recordkeeping 82 FR 6356 (Jan. 19, 2017)

Title:

Teleconference with SIFMA

Ex Parte No: []

Date: 1/18/2017

Meeting Date:

Wednesday, January 18, 2017

Memo From:

McCarty, Barry

CFTC Staff:

Eileen Flaherty, Frank Fisanich, Katherine Driscoll, Andrew Chapin, Barry McCarty

Organization:

Securities Industry and Financial Markets Association (SIFMA)

External Attendees:

Kyle Brandon (Director of Research), Melissa MacGregor (Associate General Counsel)

Additional Information:

Discussed preliminary reaction to proposed recordkeeping rulemaking and reserved comment pending review and dialogue with constituents. The Notice of Proposed Rulemaking (NPRM) was unanimously approved by the Commission and released on January 12, 2017.