

**Summary of Meeting In Connection with Notice of Proposed Rulemaking for Regulation
Automated Trading (“Regulation AT”)**

Meeting date: March 11, 2016.

CFTC staff present: Sebastian Pujol Schott, Mark Schlegel, Joe Otchin, Mike Penick, John Dunfee, and Andrew Ridenour.

Outside parties present: Nitin Gambhir (Tethys Technology) and Mary Cogger (Tethys Technology).

On March 11, 2016, CFTC staff had a telephonic meeting with representatives of Tethys Technology. The meeting lasted approximately 40 minutes and focused on the proposed rules set forth in the notice of proposed rulemaking (NPRM) for Regulation AT, including the proposed definitions of “Direct Electronic Access” and “AT Person.”