

**Summary of Meeting with Alternative Investment Management Association (“AIMA”) In
Connection with Notice of Proposed Rulemaking for Regulation Automated Trading
 (“Regulation AT”)**

Meeting date: March 1, 2016.

CFTC staff present: Vincent McGonagle, Rachel Berdansky, Sebastian Pujol Schott, Marilee Dahlman, and Mark Schlegel.

Outside parties present: Jiri Krol (AIMA), Kher Sheng, Lee (AIMA), Cary Meer (K&L Gates), and Mark Roszak (K&L Gates).

On March 1, 2016, CFTC staff had an in-person meeting with representatives from AIMA and their counsel from K&L Gates. The meeting lasted approximately 1 hour and focused on the proposed rules set forth in the notice of proposed rulemaking (NPRM) for Regulation AT, including the implications of the proposed rules for non-U.S. entities, and the proposed testing and recordkeeping requirements.