

## **Summary of Meeting in Connection with Notice of Proposed Rulemaking for Regulation Automated Trading (“Regulation AT”)**

Meeting date: February 4, 2016.

CFTC staff present: Sebastian Pujol Schott, Mark Schlegel, Marilee Dahlman, Joe Otchin, Mike Penick, Adam Kezsbom, Carlin Metzger.

Outside parties present: Mike Philipp and Sarah Riddell (both of Morgan, Lewis & Bockius LLP), and Laura Martin (SIFMA Asset Management Group).

On February 4, 2016, CFTC staff had a telephonic conference with representatives from Morgan Lewis and SIFMA Asset Management Group. The meeting lasted approximately 30 minutes and focused on the proposed definitions and rules set forth in the notice of proposed rulemaking (NPRM) for Regulation AT.