

## **Summary of Meeting in Connection with Notice of Proposed Rulemaking for Regulation Automated Trading (“Regulation AT”)**

Meeting date: January 12, 2016.

CFTC staff present: Vincent McGonagle, Rachel Berdansky, Sebastian Pujol Schott, Marilee Dahlman, Mark Schlegel, Joseph Otchin, Michael Penick, Adam Kezsbom, Richard Haynes, John Dunfee, and Carlin Metzger.

Outside parties present: Michael Sorrell (FIA), Allison Lurton (FIA), Mary Ann Burns (FIA), Joanna Mallers (FIA), Scott Parsons (Delta Strategy Group), Graham Harper (Delta Strategy Group), Mary Beth Rooney (Citi), Kim Johns (Goldman Sachs), Greg Wood (Deutsche Bank), Chris Zuehlke (DRW), Leslie Sutphen (Financial Markets Consulting), and Gary DeWaal (Katten Muchin Rosenman).

On January 12, 2016, CFTC staff had a conference with representatives from FIA, Delta Strategy Group, Citi, Goldman Sachs, Deutsche Bank, DRW, Financial Markets Consulting, and Katten Muchin Rosenman. The meeting lasted approximately one hour and focused on the proposed definitions and rules set forth in the notice of proposed rulemaking (NPRM) for Regulation AT, and on the questions for public comment set forth in the NPRM.