

Summary of Call in Connection with Concept Release on Risk Controls and System Safeguards for Automated Trading Environments

Date: March 6, 2015.

On March 6, 2015 CFTC staff spoke with representatives of the Futures Industry Association (FIA) and CME Group regarding best practices being developed by FIA with respect to automated trading systems. Call participants included Sebastian Pujol Schott from the CFTC, Leslie Sutphen from FIA, and Jim Moran and Anne Klein Gray from CME Group.