

Item #	OCR Data Points	Entities in Custody of Data Points					Notes
		DCM	FCM	CTA/CPO	IB	Administrator	
1	Trading Account Number						
2	Natural Person Owner(s) - First, Middle, & Last Name						
3	Natural Person Owner(s) - Date of Birth						
4	Natural Person Owner(s) - Residence Address						
5	Natural Person Owner(s) - NFA ID Number (if any)						
6	Not Natural Person Owner(s) - Name						
7	Not Natural Person Owner(s) - Business Address						
8	Not Natural Person Owner(s) - NFA ID Number (if any)						
9	Account Controller(s) - First, Middle, & Last Name						
10	Account Controller(s) - Date of Birth						
11	Account Controller(s) - Name of Employer						
12	Account Controller(s) - Address of Employer						
13	Account Controller(s) - NFA ID Number (if any)						
14	Date The Trading Account Was Assigned to Current Controllers						
15	Orders Generated by Natural Person, ATS or Both						
16	Special Account Number (if assigned)						
17	Large Trader Reportable Account (Y/N)						
18	Date Account Became Reportable (After 12/31/2011)						
19	Omnibus Account (Y/N)						
20	Name of Firm Holding Omnibus Account						
21	Date Account Included in Firm's Omnibus Account (After 12/31/2011)						
22	Name of the Executing Firm						
23	Executing Firm's Unique Identifier Reported in Trade Register						
24	Name of the Clearing Firm						
25	Clearing Firm's Unique Identifier Reported in Trade Register						
26	Names of Root Data Sources Providing Information						
27	Names of the Reporting Entity Submitting the OCR						
28	OCR Transmission Date						